

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
TRANSMITTAL LETTER

EXPRESS MAIL NO. EL483387382US

Applicant : Werner Toubmann, et al.  
Application No. : 09/647,899  
Filed : October 6, 2000  
Title : SPINDLE OR WORM DRIVE FOR ADJUSTING DEVICES IN MOTOR  
VEHICLES  
Grp./Div. : N/A  
Examiner : N/A  
Docket No. : 40551/DBP/M521

LETTER REGARDING PREVIOUSLY PAID FILING FEES

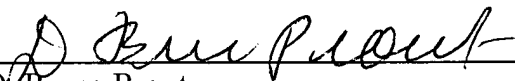
Assistant Commissioner for Patents  
Box PCT  
Washington, D.C. 20231

Post Office Box 7068  
Pasadena, CA 91109-7068  
December 21, 2000

Commissioner:

We note that the Notification of Missing Requirements Under 35 U.S.C. 371 states that additional claim fee of \$1688.00 is now due. However, the filing fee, additional claim fee, and surcharge fee have already been paid, see the enclosed copy of canceled check number 051443 in the amount \$1836.00. Also enclosed is a copy of the postcard stamped by the Receiving Office. We have now satisfied the filing, claim, and surcharge fees.

Respectfully submitted,  
CHRISTIE, PARKER & HALE, LLP

By   
Dr. Bruce Prout  
Reg. No. 20,958  
626/795-9900

DBP/aam  
AAM PAS293040.1-\* 12/21/00 4:01 PM

IN THE U.S. PATENT AND TRADEMARK OFFICE.

Title: **DEVICE FOR WORM DRIVE FOR ADJUSTING DEVICES IN MOTOR VEHICLES**

Priority Claimed? ☒ YES

Assignment Enclosed (List Assignee)

**09/647899**

Check(s) **\$1836.00**

APPLICATION

Client ID **M521**

Case No **40551**

Atty/Sec **DRP/aam**

Date Mailed **10/06/00**

Express Mail No.

**EL496185211US**

Checked by: 

PLEASE RETURN THIS POSTCARD

SERIAL NUMBER/FILING DATE

Patent **1** Mark

Transmittal Letter(s)

Application (No. of Pages) **29**

Declaration & Pwr of Atty **unexecuted**

Informal/Formal Drawings **13 sheets**

3 Specimens/Photos/Facsimiles **N/A**

Small Entity Declaration **--**

Info Disc Stmt/PTO-1449 **yes**

Petition Extension of Time **--**

PCT **--**

OTHER : Eng. Trans of Int'l. Appln. **Pre. Amend**

IPER with Eng. trans of Annexes, ISR, **Pre. Amend**

Applicant Use Only

PATENT: ☐ ORD ☐ PRO ☒ PCT; ☐ ORP ☐ CIP ☐ DIV ☐ CON ☐ REI ☐ REX OF ☐ DOCKET

MARK: ☐ TM ☐ SM ☐ TM/SM ☐ COLL ☐ CERT; ☐ ITU ☐ 44d ☐ 44e ☐ DIV

DOCKET: Y/N Standard ☒ FOR REV ☒ FOR DEADLINE Parent Case ☐ FOR REV/DEADLINE

**525 Rec'd PCT/PTO 06 OCT 2000**

FORM PTO-1390  
(REV 10-97)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

09/647899

DATE: December 21, 2000

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

EXPRESS MAIL LABEL NO.  
EL483387382USATTORNEY DOCKET NO.  
40551/DBPU.S. APPLICATION NO.  
09/647,899INTERNATIONAL APPLICATION NO.  
PCT/DE99/01082INTERNATIONAL FILING DATE  
06 April 1999PRIORITY DATE CLAIMED  
06 April 1998

## TITLE OF INVENTION

SPINDLE OR WORM DRIVE FOR ADJUSTING DEVICES IN MOTOR VEHICLES

## APPLICANT(S) FOR DO/EO/US

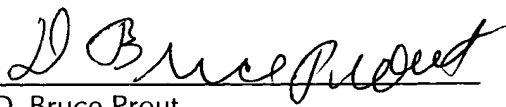
TAUBMANN, Werner; MACHT, Alwin; SCHRIMPL, Bernhard; and LIEBETRAU, Matthias

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/LUS).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (In two parts)
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items below concern other document(s) or other information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. (Supplemental)
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ SMALL ENTITY Assertion: Applicant(s) and any others associated with it/them under 37 CFR § 1.27(a) are a small entity.
17. ☐ International search report.
18. ☒ International preliminary examination report. (English Translation only)
19. ☒ Letter regarding previously paid filing fees.
20. ☒ Copy of Missing Requirements
21. ☐

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>09/647,899</b>		INTERNATIONAL APPLICATION NO. <b>PCT/DE99/01082</b>		ATTORNEY DOCKET NO. <b>40551/DBP</b>	
<input type="checkbox"/> The following fees are submitted: (see Note (1) below) <b>Basic National Fee (37 CFR 1.492(a)(1)-5)):</b> Search Report has been prepared by the EPO or JPO ..... <b>\$860.00</b> International preliminary examination fee paid to USPTO (37 CFR 1.482) ... <b>\$690.00</b> No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... <b>\$710.00</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$1,000.00</b> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... <b>\$100.00</b> <b>ENTER APPROPRIATE BASIC FEE AMOUNT = \$</b>				<b>CALCULATIONS</b>	<b>PTO USE ONLY</b>
Surcharge of \$130 for furnishing the oath or declaration later than <input type="checkbox"/> 0 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
<b>Claims</b>	<b>Number Filed</b>	<b>Number Extra</b>	<b>Rate</b>		
Total Claims	-20=		<b>X \$18</b>	\$	
Independent Claims	-3=		<b>X \$80</b>	\$	
Multiple dependent claim(s) (if applicable)			<b>+ \$270</b>	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$	
<b>SUBTOTAL =</b>				\$	
Processing fee of \$130 for furnishing the English translation later than <input type="checkbox"/> 0 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
<b>TOTAL NATIONAL FEE =</b>				\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	40
<b>TOTAL FEES ENCLOSED =</b>				\$	40
Note (1): The basic national fee must be paid when filing this application. The 20-month time limit (37 CFR § 1.494) and 30-month time limit (37 CFR § 1.495) are not extendable.				Amount to be: refunded	\$
				charged	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$ <b>40.00</b> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <b>03-1728</b> . A duplicate copy of this sheet is enclosed.					
<b>NOTE (2): Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>					
SEND ALL CORRESPONDENCE TO:					
<b>D. Bruce Prout</b> <b>CHRISTIE, PARKER &amp; HALE</b> <b>P.O. Box 7068</b> <b>Pasadena, CA 91109-7068</b>  <b>CUSTOMER NUMBER: 23363</b>			By  <b>D. Bruce Prout</b> <b>Reg. No. 20,958</b>		